

02/27/02  
J1040 U.S. PTO

02-28-02

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J1011 U.S. PTO  
10/083913  
02/27/02

Box Patent Application  
Assistant Commissioner for Patents  
Washington, DC 20231

Express Mail No.: EU260616753US  
Attorney Docket No.: 2002082-0001  
Date Filed: February 27, 2002

## UTILITY PATENT APPLICATION TRANSMITTAL

(for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

Inventor(s):

Given Name (first and middle)    Family Name or Surname    Residence (City and State or Foreign Country)

Title of the Invention: Omega-3 Fatty Acids in the Treatment of Depression

A) APPLICATION ELEMENTS:

- 1)  Fee Transmittal Form (original and duplicate submitted for fee processing)
- 2)  Applicant Claims Small Entity Status (see 37 C.F.R. § 1.27)
  - a)  Statement Verifying Small Entity Status (optional)

3)  Specification

TOTAL PAGES: 19

- Descriptive Title of the Invention
- Cross References to Related Applications (if applicable)
- Statement Regarding Federally Sponsored R & D (if applicable)
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Summary of the Invention
- Description of the Drawing (if filed)
- Detailed Description of the Invention
- Claim(s)
- Abstract of the Invention

- 4)  **Drawing(s)** (35 U.S.C. § 113)      TOTAL SHEETS: \_\_\_\_\_
- a)  Formal Drawings (if checked)
- 5)  **Oath or Declaration**      TOTAL PAGES: \_\_\_\_\_
- a)  Newly Executed (original or copy)
- b)  Copy from a prior application (37 C.F.R. § 1.63(d))-for continuation/divisional application
- i)  Deletion of inventor(s): Signed Statement deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
- c)  Unexecuted
- 6)  **Application Data Sheet**. See 37 C.F.R. § 1.76.
- 7)  **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
- 8)  **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all are necessary)
- a)  Computer Readable Form (CRF)
- b)  Specification Sequence Listing on:
- i)  CD-ROM or CD-R (2 copies); or
- ii)  Paper
- c)  Statements verifying identity of above copies

B) ACCOMPANYING APPLICATION PARTS:

- 9)  **Assignment Papers** (cover sheet & document(s))
- 10)  **37 C.F.R. § 3.73(b) Statement** (when there is an assignee)
- 11)  **Power of Attorney**
- 12)  **English Translation Document** (if applicable)
- 13)  **Information Disclosure Statement (IDS)/PTO-1449**
- 14)  **Copies of IDS Citations**

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- 15)  **Preliminary Amendment**
- 16)  **Return Receipt Postcard (MPEP 503)** (specifically itemized)
- 17)  **Certified Copy of Priority Document(s)** (if foreign priority is claimed)
- 18)  **Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i)**
- 19)  **OTHER:** (if applicable, specified below)

C) **FOR CONTINUING APPLICATIONS:** (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

continuation       divisional       continuation-in-part (CIP)

of prior application no.: \_\_\_\_\_ using Express Mail ET796587916US

filed: \_\_\_\_\_ February 5, 2002

examiner: \_\_\_\_\_

group/art unit: \_\_\_\_\_

**for continuation or divisional applications only:** The entire disclosure of the prior application, from which an oath or declaration is supplied as detailed above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

D.) **PRIORITY CLAIM(S):**

This application claims the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below:

<u>Application Serial No.</u>	<u>Filing date</u>	<u>Status (patented, pending, abandoned)</u>
	February 5, 2002	Pending
09/269,361	March 22, 1999	Issued
PCT/US97/06712	April 23, 1997	Inactive

This application claims the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing date</u>	<u>Status (pending, expired, abandoned)</u>
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**E) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:**

- Applicant claims small entity status 37 C.F.R. § 1.27.
- A check for ~~\$663~~<sup>\$633</sup> is enclosed to cover the filing fees.
- The commissioner is hereby authorized to charge the remaining filing fees and any additional fees or to credit any overpayment to deposit account number 03-1721.

Basic Filing Fee (Small Entity) \$370.00

Additional Fees:

Total Number of Claims in excess of 20: (33– 20) x \$9 \$117.00

Number of Independent Claims in excess of 3:(4– 3) x \$42 \$42.00

Multiple Dependent Claims \$140: \$140.00

Total Filing Fee: \$669.00

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F) CORRESPONDENCE ADDRESS:



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Respectfully Submitted,

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